

**SOUTHWEST DEVELOPMENTAL SERVICES, INC.
COMMUNITY DEVELOPMENTAL DISABILITY ORGANIZATION
LOCAL FINANCE PLAN**

UTILIZATION & MANAGEMENT OF THE LOCAL FINANCE PLAN

This Local Finance Plan will apply only to services provided in the following counties of Kansas served by SDSI as CDDO; Barton, Finney, Grant, Greeley, Hamilton, Haskell, Kearny, Lane, Morton, Pawnee, Rice, Rush, Scott, Seward, Stafford, Stanton, Stevens, and Wichita.

The CDDO will determine who is eligible for the Local Finance Plan. Participation shall be based on availability of funds, approval of the Community Service Provider (CSP) by the CDDO and the CSP must have a signed Agreement for Affiliation with the CDDO. The CDDO will determine the total amount of State Aid funds and County Mill Levy funds allocated to the Local Finance Plan. **All allocations are subject to the availability of funds.** The CDDO will solicit and consider input from the CSPs and then establish service categories and rates for the Local Finance Plan which best meet the communities various service needs.

The CSPs and the CDDO will review the Local Finance Plan in November and June of each year. The CDDO will maintain a system which tracks and monitors service categories, rates, and a listing of individuals funded by the Local Finance Plan. The CDDO will be responsible for making monthly revisions to the Local Finance Plan and providing updated revisions to the CSPs.

ALLOCATION

The CDDO will accept revisions to the Local Finance Plan monthly by utilizing the Local Finance Plan Revision Form (LFP-100). The revision must be emailed to the Chief Financial Officer (CFO) (cwikoff@sdsicddo.com) by 12:00 p.m. (noon) on the 10th of each month. For payment purposes, the CDDO will accept revisions backdated to the first day of the previous month and the individual must be in KAMIS. The CDDO will notify the CSP of approval and the availability of funds for allocation by the 30th of each month. If funds are not available, the CDDO will place the individual on the Local Finance Plan waiting list and notify the CSP.

SERVICE CATEGORIES

- 1. Transportation:** Subsidy to help cover costs not reimbursed by fees charged to the individual incurred with the provision of transportation for individuals receiving Day and/or Residential Services. Subsidy will not be paid on individuals who own, maintain and provide their own source of transportation or who choose not to access transportation provided by the CSP.

Coverage: Adults

Unit: One-month enrollment requires that Residential Services and/or Day Services have been provided during the month.

Criteria: Meets criteria for the I/DD system.

Rate: \$83.00 per month if Residential Services have been provided.

\$32.00 per month if Day Services have been provided.

\$115.00 per month if Residential & Day Services have been provided.

- 2. Medicaid Ineligible Case Management Payment**

SDSI has chosen through this finance plan to provide a cash payment based on units of service provided for Medicaid Ineligible Case Management. The CSP is expected to provide sufficient case management services to meet the need of the individual even if the time exceeds the monthly cap.

Furthermore, the CSP agrees to accept the Medicaid Ineligible Case Management Payment, under the Local Finance Plan, as payment in full and will **not** bill any other entity for any additional charges.

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Support provided to those who meet I/DD guidelines which shall consist of the following: assessment, development of a specific support/care plan, referral and related activities, and monitoring and follow-up. Services in these categories shall assist the person or support network to identify, develop, select, obtain, coordinate, utilize and monitor paid services and natural supports to enhance the person's independence, integration, productivity, and community inclusion consistent with the person's needs and lifestyle preferences as outlined in the person-centered support plan.

Coverage: Children and adults.

Unit: Billing time shall be tracked for each person by accumulating all minutes for the month.

That result is then divided by 15 (rounded to nearest whole number) to determine units. **Service utilization for this category will be capped at 10 units (2.5 hours) per person per month.**

Criteria: Not eligible for Medicaid TCM but meets the criteria for the I/DD system.

Rate: 10.83 per unit. One (1) unit = 15 minutes

When a Medicaid ineligible person is transitioning out of an institution, SDSI will allow up to 20 units (5 hours) for those case managers who are transitioning that individual back into the community. These 20 units may be incurred up to 30 days prior to a person leaving said institution. Please note that these additional units are only for Medicaid ineligible individuals, up to 30 days prior to discharge, the person must be leaving a Kansas institution, and the case manager must complete Form LFP-100. Case manager must notify the CFO of SDSI, in writing (an email will suffice), prior to using these units for case management.

3. Adult Services (Tier 0)

SDSI has chosen through this finance plan to provide a cash payment for nine named adult individuals who are not eligible for the HCBS waiver. Effective December 1, 2017, all Tier 0 plans will be on a calendar basis with an effective start date of January 1, 2018. All existing Tier 0 plans will be null and void and a new funding plan must be submitted in December of each year. The individual finances of each individual funded will be part of the funding consideration for years after 2018. For billing purposes, you must use the Tier 0 Report provided by SDSI for reimbursement. Providers may submit an alternate billing template during the December submission of funding plans for CDDO approval. If billing template is not approved, providers must use SDSI's Tier 0 Report for reimbursement. Failure to submit a Tier 0 plan by December 31 of each year will cause funding to cease effective January 1. Funding may resume beginning the first day of the following month of a CDDO approved funding plan. Services incurred after the expiration date and prior to the first of the following month will not be paid.

PLAN PARTICIPATION REQUIREMENTS

CSPs affiliated with SDSI which are licensed by KDADS (if required), and are serving one or more individuals may request and be authorized to participate in the Local Finance Plan. Participation requires that the CSP:

- A. Accept the following rates and funding definitions.
- B. Acknowledges that rates established subsidize funding received from various other sources (i.e.: HCBS-I/DD waiver, state grant funds, CDDO discretionary funds, private pay) and are not intended to be full payment for services. Therefore, SDSI will not require the provider to serve persons under subsidized categories who are not funded by the above listed sources. However, if the service is funded, the CSP will expand service

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capacity as needed regardless of availability of Local Finance Plan funds.

- C. Agrees to serve any individual currently funded with CDDO discretionary funds for the amounts specified on the individual's CDDO Funding Plan. Any CSP who fails to do so will no longer be eligible for any funding under the Local Finance Plan for any individuals served regardless of funding source.
- D. Agrees to not impose capacity limitations. Any contracting CSP who chooses to place capacity limitations on any service they provide shall not be eligible to receive payments for the Transportation subsidy from the SDSI Local Finance Plan. Notifications that capacity limitations have been implemented or removed must be received in writing by the CDDO. Notifications will be considered effective the date it is received by the Chief Programs Officer (ssandoval@sdsicddo.com) of SDSI.

For the purposes of this Local Finance Plan **capacity limitations** on any service will be defined as placing a limitation on the number of individuals served or placing a limitation for a geographic area the CSP serves. The CSP can only limit the geographic region they serve to the five contiguous counties in SDSI's Central Kansas Region (Barton, Pawnee, Rice, Rush & Stafford counties) or the thirteen contiguous counties in SDSI's Southwest Kansas Region (Finney, Grant, Greeley, Hamilton, Haskell, Kearney, Lane, Morton, Scott, Seward, Stanton, Stevens, & Wichita counties).

- E. Agrees to submit their annual audit or the annual reports as required in their Agreement for Affiliation, page 10, paragraphs 27 and 28. Any contracting CSP who does not submit their annual reports will not be paid any money from the Local Finance Plan until after all reports are received and accepted by the CDDO. Any month(s) that payments were not made to the CSP for failure to submit the required reports, prior to the date received by the CDDO, shall be lost to the provider.
- F. Agrees to remain in compliance with all federal and state payroll tax requirements. Any contracting CSP who is not in compliance with those requirements, including federal and state requirements for depositing and the reporting of all FICA, federal income tax withholding, federal unemployment tax, if applicable, state income tax withholding, and state unemployment tax for both current and all prior years shall not be eligible to receive payments from the SDSI Local Finance Plan.

Any contracting CSP who is not current with any and all federal and state payroll tax deposits including FICA, federal income tax withholding, federal unemployment tax, if applicable, state income tax withholding, and state unemployment tax for both current and all prior years shall not be eligible to receive payments from the SDSI Local Finance Plan until such time as all required deposits are made and proof of payment is submitted to the CDDO.

- G. Agrees to update Tier 0 funding plans and submit to the CDDO on an annual basis.

PAYMENT

To receive payment for the **Categories**, the service provider will be required to email a legible statement of enrollment to the CFO (cwikoff@sdsicddo.com) of the CDDO by 12:00 p.m. (noon) on the 10th of each month. All changes occurring during the billing month must be listed separately on form LFP-100,

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showing date of admission, termination, or transfer of services. The CDDO will review all requested additions that have been submitted. The CDDO will make the requested adjustments to the finance plan including additions, if approved, and generate payment to the service provider for the individuals enrolled.

If two service providers provide the same service to an individual during the same month, the service provider who serves the majority of the month is entitled to the service payment for the whole month. If each provides services for exactly half of the month each may claim a half unit of service. This should be noted on the detailed listing submitted to the CDDO.

To receive payment for the **Medicaid Ineligible Case Management Payment**, the service provider will be required to email a Medicaid Ineligible Case Management Monthly Reporting Form (Form LFP200) *and* individual case notes. Form LFP200, for the previous month, must be emailed to the CFO (cwikoff@sdsicddo.com) of the CDDO by 12:00 p.m. (noon) on the 10th of each month. The CDDO will regularly review all billings for Medicaid Ineligible Case Management and all documentation forms related to such billings to assure that services were appropriately provided and services which were billed for were provided. The CDDO will take necessary and prudent action in response to any deficiencies detected in that review process. Please note that a person from the CSP's billing department must sign the LFP200. The TCM is still responsible for the individual case notes. The LFP200 must be used for January 2017 billings and going forward. Any old versions of this form will be rejected.

All Local Finance Plan monies will be paid by automatic clearing house (ACH). All contracting CSPs must supply a form with their bank routing number and bank account number to the CFO by this date, or at time of enrollment if a new CSP. An ACH will be created by the CFO no later than the 14th of each month for automatic draft into the contracting CSP's bank account on the 15th of each month. If the 15th falls on a weekend or holiday, the payment may be allowed to be made electronically on the Monday following. The CSP must submit, electronically, a statement of enrollment to the CFO (cwikoff@sdsicddo.com) of the CDDO by 12:00 p.m. (noon) on the 10th of each month. If the CSP fails to submit their enrollment statement electronically by the 10th of each month, the CDDO has the discretion to not pay these funds or to wait until the following month to pay these funds through ACH.

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1808 Palace Dr., Suite C
Garden City, KS 67846
(620) 275-7521

DIRECT DEPOSIT/ACH CREDIT Authorization Form

On or about the 15th of each month, your payment will be deposited into your account. The amount of the deposit will appear on your bank statement. To take advantage of this service, complete the attached authorization form and return it to Southwest Developmental Services, Inc. (SDSI).

The completed authorization form gives SDSI the authority to deposit your payment to your account. All you need to do is complete, in full, the following:

I, _____, acting on behalf of

_____, authorize SDSI to initiate electronic credit entries for the purpose of payment of Local Finance Plan, and if necessary, debit entries and adjustments for any credit entries in error to my:

Select One:

Checking Account Savings Account

Please print:

(U. S. BASED) FINANCIAL INSTITUTION NAME _____

ACCOUNT NUMBER AT FINANCIAL INSTITUTION _____

FINANCIAL INSTITUTION ROUTING NUMBER _____

FINANCIAL INSTITUTION CITY, STATE and POSTAL CODE _____

This authority will remain in effect until I have cancelled it in writing with SDSI. I understand changes/cancellations must be made at least 10 days prior to the deposit date.

SIGNATURE _____

PHONE NUMBER _____

DATE _____

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	2018 Jan - June Projection 6 Months
Funds Available	
Total county revenue per contracts	267,650
Less: funds used for CDDO Admin operations	(119,348)
Plus: funds from CDDO operations	30,083
Balance of county funds	178,385
State Aid	163,531
Total funds available for Finance Plan	341,916
Expenditures	
Adult Services	39,554
Transportation Subsidy	285,780
Unfunded individual (1 named)	0
Medicaid Ineligible Case Management Payment	21,574
Total projected expenditures	346,907
Surplus (Deficit)	(4,991)